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# 基于非局部理论的参数不确定纳米梁的非线性振动分析

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摘 要:考虑纳米梁的弹性模量、长度、阻尼系数及外激励幅值为不确定参数,以 Eringen 所建立的 非局部理论为基础,建立了区间变量的纳米梁非线性振动方程,采用变分法对具有区间变量的非线性振 动方程的主共振响应进行求解,根据区间分析法计算出纳米梁主共振响应幅值的上下限,并且给出了区 间变量的纳米梁非线性振动方程的数值求解格式。通过与 Monte Carlo 方法对比,验证了所提出的求解 区间变量的非线性振动方程方法的正确性。研究结果表明:不确定参数对参数纳米梁的主共振响应具 有较大的影响,在实际问题分析中,不能忽略参数的不确定性。该方法对于具有不确定参数的纳米梁的 研究具有重要的理论价值及工程意义。

关键词:纳米梁;不确定参数;区间变量;变分方法 中图分类号:032;032.3

### 引言

随着纳米机电技术的发展,纳米尺度梁的力学特性 引起了众多学者的关注。纳米梁的主要特点是小尺度 效应突现<sup>[1]</sup>,表面积的相对增大导致能量耗散机理显得更 为复杂<sup>[2]</sup>,动态响应出现明显的非线性特征<sup>[3]</sup>。因此,经典 局部连续介质力学不再适用于对纳米梁的动态分析<sup>[14]</sup>。 许多学者基于非局部理论对纳米梁的动态响应进行了广泛 的研究<sup>[641]</sup>。非局部理论是 Eringen <sup>[12]</sup>在 20 世纪 20 年代 提出,该理论认为求解域内的一点的应力状态不仅与该点 的应变有关,而且与周围点的应变有关。自提出以来,非局 部理论在物理和实际工程中获得良好的应用。

近年来,纳米梁的非线性动态响应的研究取得大量的

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成果。Peddieson 等应用非局部理论研究了正弦载荷激励的 双端简支纳米梁的振动问题<sup>[13]</sup>。Rahmani 采用 Timoshenko 梁模型分析了功能梯度纳米梁的尺度效应<sup>[14]</sup>。Atabakhshian 基于非局部理论分析了耦合纳米梁的热 – 电耦合振 动<sup>[15]</sup>。Eltahere 采用非局部理论分析了小尺度效应对功能 梯度纳米梁的自由振动响应的影响<sup>[16]</sup>。Nazemnezhad 根据 非局部理论建立了功能梯度纳米梁的非线性振动方程,分 析了非线性振动的影响<sup>[17]</sup>。此外,许多学者采用非局部理 论对纳米尺度梁进行大量的研究,取得了众多有益的结 论<sup>[18-20]</sup>。

在以往的研究中,纳米梁的数学模型均是假定其参数为确定性参数。然而,外激励的不确定性、材料参数的不确定性、几何尺寸的不确定性等大量不确定因素的

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存在,必然导致纳米梁的参数是不确定的。受不确定参数的影响,如果仍采用确定性的理论和方法分析具有不确定参数的纳米梁的动力学特性,分析结果必然与实际结果有出入较大,故需要研究不确定参数对纳米梁的动力学特性的影响。

本文采用区间分析法及变分法研究具有不确定参数的纳米梁的非线性动力学响应。首先以基于非局部 理论的 Euler – Bernoulli 梁为基础,建立区间变量纳米梁 的非线性振动方程;其次采用变分法对参数不确定纳米 的振动方程求解;然后由区间分析法给出响应的上下 限;最后给出参数不确定纳米梁振动方程的数值求解方 法,采用数值解验证解析分析的正确性。对于具有不确 定参数的非线性系统的研究,本文所提出的分析方法具 有重要的理论价值及工程意义。

### 1 基于区间变量的纳米梁力学模型

考虑图 1 所示的两端为简支的纳米梁,其密度为ρ, 弹性模量为 E, 横截面积为 S, 长度为 l, 结构阻尼系数 为 C, 外激励的幅值为 F, 且外激励频率为 ω。由于材 料、加工误差及几何尺寸的不确定性,纳米梁的弹性模 量 E,长度 l, 结构阻尼系数 C 及外激励的幅值 F 为不确 定参数,且它们处在一定的确定区间内。



$$\begin{cases} E \in [E,E], & C \in [C,C] \\ L \in [\bar{L},\bar{L}], & F \in [\bar{F},\bar{F}] \end{cases}$$
(1)

不确定参数的中位数为:

$$\begin{cases} E_0 = mid(E) = \frac{E+E}{2}, C_0 = mid(C) = \frac{C+C}{2} \\ L_0 = mid(L) = \frac{\bar{L}+\bar{L}}{2}, F_0 = mid(F) = \frac{\bar{F}+\bar{F}}{2} \end{cases}$$
(2)

不确定参数偏离中位数的偏差为:

$$\begin{cases} \nabla L = rad(L) = \frac{\overline{L} - \overline{L}}{2}, \forall C = rad(C) = \frac{\overline{C} - \overline{C}}{2}, \\ \nabla E = rad(E) = \frac{\overline{E} - \overline{E}}{2}, \forall F = rad(F) = \frac{\overline{F} - \overline{F}}{2} \end{cases}$$
(3)

则不确定参数表示为区间变量为:

$$\begin{cases} l = L_0 + \Delta L, \quad c = C_0 + \Delta C, \\ E = E_0 + \Delta E, \quad F = F_0 + \Delta F, \end{cases}$$
(4)

其中:

$$\begin{cases} |\Delta m| \leq \nabla m, \quad |\Delta C| \leq \nabla C, \\ |\Delta E| \leq \nabla E, \quad |\Delta F| \leq \nabla F. \end{cases}$$
(5)

根据非局部理论<sup>[13]</sup>,应力与应变的关系可以表示为:

$$\sigma_{x} - (e_{0}a)^{2} \frac{\partial \sigma_{x}}{\partial x^{2}} = E\varepsilon_{x}$$
(6)

其中,  $e_0a$  为纳米梁小尺度效应的参数。对于纳米梁的大幅 振动,轴向 von Kármán 非线性应变与位移的关系为<sup>[13]</sup>:

$$\varepsilon_0 = \frac{\partial u}{\partial x} + \frac{1}{2} \left(\frac{\partial w}{\partial x}\right)^2 \tag{7}$$

其中,*u*为轴向位移。对于 Euler - Bernoulli 梁,轴向力为<sup>[13]</sup>:

$$N - (e_0 a) \frac{\partial^2 N}{\partial x^2} = ES_{\mathcal{E}_0}$$
(8)

弯矩为:

$$M - (e_0 a) \frac{\partial^2 M}{\partial x^2} = -EI \frac{\partial^2 w}{\partial x^2}$$
(9)

则纳米梁的动能 T 为:

$$T = \frac{1}{2}\rho S \int_{0}^{t} \left[ \left( \frac{\partial u}{\partial t} \right)^{2} + \left( \frac{\partial w}{\partial t} \right)^{2} \right] \mathrm{d}x \tag{10}$$

纳米梁的势能为:

$$U = \int_0^l \left\{ N \left[ \frac{\partial u}{\partial x} + \frac{1}{2} \left( \frac{\partial w}{\partial x} \right)^2 \right] - M \frac{\partial^2 w}{\partial x^2} \right\} dx$$
(11)

外载荷的功为:

$$\delta W = \int_0^l f\cos(\omega t) \,\delta w dx \tag{12}$$

由 Hamilton 原理可得区间变量的纳米梁振动方程:

$$EI\frac{\partial^4 w}{\partial x^4} + \left[\frac{ES}{2l}\int_0^l \left(\frac{\partial w}{\partial x}\right)^2 dx\right]\frac{\partial^2}{\partial x^2} \left[(e_0a)^2 \frac{\partial^2 w}{\partial x^2} - w\right] = \rho S\frac{\partial^2}{\partial t^2} \left[(e_0a)^2 \frac{\partial^2 w}{\partial x^2} - w\right] + c\frac{\partial^2}{\partial t} \left[(e_0a)^2 \frac{\partial^2 w}{\partial x^2} - w\right] + F\cos(\omega t)$$
(13)

边界条件为:

$$u = 0, \frac{\partial^2 w}{\partial x} = 0, (x = 0 \text{ or } l)$$
(14)

### 2 区间变量的纳米梁振动解析求解

根据 Galerkin 方法将两端简支的梁的横向振动的 解函数写为

$$w(x,t) = q(t)\sin\left(\frac{\pi x}{l}\right)$$
(15)

将方程(15)带人(13)可得:  
$$\ddot{q} + \xi \dot{q} + \omega_0^2 q + \alpha q^3 = f\cos(\omega t)$$
 (16)

其中:

$$\omega_{0} = \sqrt{\frac{1}{\rho S} \left[ \frac{EI}{1 + (e_{0}a)^{2} (\pi/l)^{2}} \left( \frac{\pi}{l} \right)^{2} \right]}$$
  
$$\xi = \frac{c}{\rho S}, \alpha = \frac{E\pi^{4}}{4\rho l^{4}}, f = \frac{2Fl}{\rho S\pi}$$
(17)

方程(16)的一阶渐进解为:

$$q(t) = A\cos(\omega t + \theta)$$
(18)

令:

$$N(q,t) = \ddot{q} + \xi \dot{q} + \omega_0^2 q + \alpha q^3 - f\cos(\omega t) = 0$$

$$2\pi \qquad (19)$$

$$T = \frac{2\pi}{\omega}$$

由变分法可得

$$\int_{0}^{T} N(q,t) \,\delta q \mathrm{d}t = 0 \tag{20}$$

其中,δ为变分符号,由于

$$\delta q = \cos(\omega t + \theta) \delta A - \omega A \sin(\omega t + \theta) \delta \theta \qquad (21)$$
  
则由方程(20)和(21)可得:

$$\int_{0}^{T} N(q,t) \cos(\omega t + \theta) \delta A dt -$$

$$\omega A \int_{0}^{T} N(q,t) \sin(\omega t + \theta) \delta \theta dt = 0$$
(22)

由于δA与δθ为独立变分,由方程(22)可得:

$$\begin{cases} \int_0^T N(x,t)\cos(\omega t + \theta) dt = 0\\ \int_0^T N(x,t)\sin(\omega t + \theta) dt = 0 \end{cases}$$
(23)

由方程组(23)可得:

$$\begin{bmatrix} \frac{\pi}{4\omega} [3\alpha A^3 - 4\omega^2 A + 4\omega_0^2 A - 4f\cos(\theta)] = 0 \\ \frac{4\pi}{\omega} [\xi\omega_0 \omega A + f\sin(\theta)] = 0 \end{bmatrix}$$
(24)

由方程组(24)消去θ可得:

$$A^{2} \left(\frac{3}{4} \alpha A^{2} + \omega_{0}^{2} - \omega^{2}\right)^{2} + \left(\xi \omega_{0} \omega A\right)^{2} - f^{2} = 0 \quad (25)$$

3 不确定动力响应的区间确定

由于
$$A$$
 是参数 $E,c,l,F$ 的函数,将其写为  
 $A = A(E,c,l,F)$  (26)  
设

$$A_{0} = A(E_{0}, C_{0}, L_{0}, F_{0})$$

$$D_{E} = \frac{\partial A}{\partial E} \begin{vmatrix} E = E_{0}, c = c_{0} \\ l = L_{0}, F = F_{0} \end{vmatrix} D_{c} = \frac{\partial A}{\partial c} \begin{vmatrix} E = E_{0}, c = c_{0} \\ l = L_{0}, F = F_{0} \end{vmatrix} (27)$$

$$D_{l} = \frac{\partial A}{\partial l} \begin{vmatrix} E = E_{0}, c = c_{0} \\ l = L_{0}, F = F_{0} \end{vmatrix} (27)$$

$$dl \mid l = L_0, F = F_0$$
  $dr \mid l = L_0, F = F_0$   
将 A 展开成 Taylor 级数形式,保留一阶项:

$$A = A_0 + D_E \Delta E + D_e \Delta C + D_i \Delta L + D_F \Delta F$$
 (28)  
由区间分析法可以确定 *A* 的上界 Ā 和 *A* 的下界 Ā  
为:

$$\overline{A} = A_0 + |D_E| \nabla E + |D_c| \nabla c + |D_l| \nabla L + |D_F| \nabla F$$

$$\overline{A} = A_0 - |D_E| \nabla E - |D_c| \nabla c - |D_l| \nabla L - |D_F| \nabla F$$
(29)

在式(29)中,各个区间变量的一阶偏导数未确定, 故需确定区间变量的一阶偏导数。设:

$$J(E,c,l,F) = A^{2} \left(\frac{3}{4}\alpha^{2}A^{2} + \omega_{0}^{2} - \omega^{2}\right)^{2} + (\xi\omega_{0}\omega A)^{2} - f^{2}$$
(30)

则方程(25)可以写为:

$$J(E,c,l,F,A) = 0$$
 (31)

对方程(31)的各个区间变量求偏导数可得:

$$\begin{cases} \frac{\partial J(E,c,l,F,A)}{\partial E} + \frac{\partial (E,c,l,F,A)}{\partial A} \frac{\partial A}{\partial E} = 0\\ \frac{\partial J(E,c,l,F,A)}{\partial c} + \frac{\partial J(E,c,l,F,A)}{\partial A} \frac{\partial A}{\partial c} = 0\\ \frac{\partial J(E,c,l,F,A)}{\partial l} + \frac{\partial J(E,c,l,F,A)}{\partial A} \frac{\partial A}{\partial l} = 0\\ \frac{\partial J(E,c,l,F,A)}{\partial F} + \frac{\partial J(E,c,l,F,A)}{\partial A} \frac{\partial A}{\partial F} = 0 \end{cases}$$
(32)

从方程组(32)可以求得:

$$\frac{\partial A}{\partial E} = -\frac{\frac{\partial J(E,c,l,F,A)}{\partial E}}{\frac{\partial J(E,c,l,F,A)}{\partial A}}, \frac{\partial A}{\partial c} = -\frac{\frac{\partial J(E,c,l,F,A)}{\partial c}}{\frac{\partial J(E,c,l,F,A)}{\partial A}}$$

$$\frac{\partial A}{\partial l} = -\frac{\frac{\partial J(E,c,l,F,A)}{\partial A}}{\frac{\partial J(E,c,l,F,A)}{\partial A}}, \frac{\partial A}{\partial F} = -\frac{\frac{\partial J(E,c,l,F,A)}{\partial F}}{\frac{\partial J(E,c,l,F,A)}{\partial A}}$$
(33)

设:

$$\begin{split} H_{A} &= \frac{\partial J}{\partial A} \begin{vmatrix} E &= E_{0}, c &= C_{0}, A &= A_{0} \\ l &= L_{0}, F &= F_{0}, \end{vmatrix} \\ H_{E} &= \frac{\partial J}{\partial E} \begin{vmatrix} E &= E_{0}, c &= C_{0}, A &= A_{0} \\ l &= L_{0}, F &= f_{0} \end{vmatrix} \\ H_{c} &= \frac{\partial J}{\partial c} \begin{vmatrix} E &= E_{0}, c &= C_{0}, A &= A_{0} \\ l &= L_{0}, F &= F_{0}, \end{vmatrix} \\ H_{l} &= \frac{\partial J}{\partial l} \begin{vmatrix} E &= E_{0}, c &= C_{0}, A &= A_{0} \\ l &= L_{0}, F &= F_{0} \end{vmatrix}$$

$$H_{F} = \frac{\partial J}{\partial F} \Big|_{l=L_{0}, F=F_{0}}^{E=E_{0}, c=C_{0}, A=A_{0}} (34)$$

将式(34)带入方程组(33),则可以得到:

$$\begin{cases} D_E = -\frac{H_E}{H_A}, \quad D_c = -\frac{H_c}{H_A} \\ D_l = -\frac{H_l}{H_A}, \quad D_F = -\frac{H_F}{H_A} \end{cases}$$
(35)

### 4 区间变量纳米梁的振动响应区间的数值求解格式

不确定响应 
$$q(t)$$
 可以写为:  
 $q = q(E,c,l,F,t)$  (36)

设

$$q_{0} = q(E_{0}, c_{0}, L_{0}, F_{0}, t)$$

$$G_{E} = \frac{\partial q}{\partial E} \Big|_{l}^{E} = E_{0}, c = C_{0} \\ l = L_{0}, F = F_{0}, c = \frac{\partial q}{\partial c} \Big|_{l}^{E} = E_{0}, c = C_{0} \\ l = L_{0}, F = F_{0}, c = C_{0} \\ G_{l} = \frac{\partial q}{\partial l} \Big|_{l}^{E} = E_{0}, c = C_{0}, c = C_{0} \\ l = L_{0}, F = F_{0}, c = C_{0}, c = C_{0},$$

将q展开成 Taylor 级数形式:

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$$\bar{q} = q_0 + |G_E|\nabla E + |G_c|\nabla C + |G_l|\nabla L + |G_f|\nabla F$$
$$\bar{q} = q_0 - |G_E|\nabla E - |G_c|\nabla C - |G_l|\nabla L - |G_f|\nabla F$$
(39)

将方程 (16) 写为  

$$\ddot{q} + \xi(c)\dot{q} + \omega_0^2(E,l)q + \alpha(E,l)q^3 =$$
  
 $f(F,l)\cos(\omega t)$  (40)

则方程 (40) 的一阶偏导数为:

$$\begin{aligned} \frac{\partial \ddot{q}}{\partial E} + \xi(c) & \frac{\partial \dot{q}}{\partial E} + \frac{\partial \omega_0^2(E,l)}{\partial E}q + \omega_0^2(E,l) & \frac{\partial q}{\partial E} + \\ \frac{\partial \alpha(E,l)}{\partial E}q^3 + 3\alpha(E,l)q^2 & \frac{\partial q}{\partial E} = 0 \\ \frac{\partial \ddot{q}}{\partial c} + \xi(c) & \frac{\partial \dot{q}}{\partial c} + \frac{\partial \xi(c)}{\partial c}\dot{q} = 0 \\ \frac{\partial \ddot{q}}{\partial l} + \xi(c) & \frac{\partial \dot{q}}{\partial l} + \frac{\partial \omega_0^2(E,l)}{\partial l}q + \omega_0^2(E,l) & \frac{\partial q}{\partial l} + \\ \frac{\partial \alpha(E,l)}{\partial l}q^3 + 3\alpha q^2 & \frac{\partial q}{\partial l} = \frac{\partial f(F,l)}{\partial l}\cos(\omega t) \\ \frac{\partial \ddot{q}}{\partial F} + \xi(c) & \frac{\partial \dot{q}}{\partial F} + \omega_0^2(E,l) & \frac{\partial q}{\partial F} + 3\alpha(E,l)q^2 & \frac{\partial q}{\partial l} = \end{aligned}$$

$$\frac{\partial f(F,l)}{\partial F} \cos(\omega t) \tag{41}$$

将  $E_0$ 、 $C_0$ 、 $L_0$ 和  $F_0$ 带入方程(40)和方程(41)可得方程(42)。

$$\begin{split} \ddot{q}_{0} + \xi(C_{0})\dot{q}_{0} + \omega_{0}^{2}(E_{0}, L_{0})q_{0} + \alpha(E_{0}, L_{0})q_{0}^{3} &= \\ f(F_{0}, L_{0})\cos(\omega t) \\ \ddot{G}_{E} + \xi(C_{0})G_{E} + (\omega_{0}^{2}(E_{0}, L_{0}) + 3\alpha(E_{0}, L_{0})q_{0}^{2})G_{E} + \\ \frac{\partial\omega_{0}^{2}(E_{0}, L_{0})}{\partial E}q_{0} + \frac{\partial\alpha(E_{0}, L_{0})}{\partial E}q_{0}^{3} &= 0 \\ \ddot{G}_{C} + \xi(C_{0})G_{C} + \frac{\partial\xi(C_{0})}{\partial c}\dot{q}_{0} &= 0 \\ \ddot{G}_{I} + \xi(C_{0})G_{I} + [\omega_{0}^{2}(E_{0}, L_{0}) + 3\alpha(E_{0}, L_{0})q_{0}^{2}]G_{I} + \\ \frac{\partial\omega_{0}^{2}(E_{0}, L_{0})}{\partial I}q_{0} + \frac{\partial\alpha(E_{0}, L_{0})}{\partial I}q_{0}^{3} &= \frac{\partial f(F_{0}, L_{0})}{\partial I}\cos(\omega t) \\ \ddot{G}_{F} + \xi(C_{0})G_{F} + [\omega_{0}^{2}(E_{0}, L_{0}) + 3\alpha(E_{0}, L_{0})q_{0}^{2}]G_{F} &= \\ \frac{\partial f(F_{0}, L_{0})}{\partial F}\cos(\omega t) \end{split}$$

$$(42)$$

### 5 振动响应区间的计算及分析

将(35)式带入(29)式,则可以确定 A 的上界 Ā 和下 界 Ā。将不确定量写成如下形式:

$$E = \begin{bmatrix} E_0 - \beta E_0, E_0 + \beta E_0 \end{bmatrix}, c = \begin{bmatrix} C_0 - \beta C_0, C_0 + \beta C_0 \end{bmatrix}$$
$$l = \begin{bmatrix} L_0 - \beta L_0, k_0 - \beta L_0 \end{bmatrix}, F = \begin{bmatrix} F_0 - \beta F_0, \beta + \beta F_0 \end{bmatrix}$$
(43)

计算参数见表1,参数不确定纳米梁的幅值与频率 关系曲线如图2所示。



### 图 2 主共振的幅频曲线

在方程(41)中,参数均为确定性的参数,其为常规的 二阶非线性常微分方程,故可以进行求解。本文采用 Runge – Kutta 法从方程(42)求解  $q_0$ ,  $G_F$ ,  $G_c$ ,  $G_l$  及,  $G_F$ , 并 与 Monte Carlo 数值模拟<sup>[21]</sup>进行对比。当 E = 170 GPa; l = 150 nm; F = 0.5 N/nm; C = 0.1 Pa/ nm,  $\beta = 0.01$  时, 基 于区间分析的纳米梁的非线性振动的响应的时间历程图 如图 3 所示, 基于 Monte Carlo 数值模拟的纳米梁的非线 性振动的响应的时间历程图如图 4 所示, Monte Carlo 数值 模拟的次数为 500 次。





在图4中,  $q_0$  为均值,  $q_1 = q - 3\delta$ ,  $q_2 = q + 3\delta$ ,  $\delta$  为标准差。由图3和图4可知,基于区间分析的纳米梁非 线性振动响应与基于 Monte Carlo 模拟计算的响应基本 一致,说明区间分析方法可以很好的替代 Monte Carlo 数 值模拟。区间分析与 Monte Carlo 方法模拟的区间对比 如图5所示。其中  $a = 6\delta$ ,  $b = 4\delta$ , c 为区间分析所计算 的区间。由图5可知,区间分析的计算结果处于48与 68之间,且接近于68,具有较好的置信度。图5说明 区间分析结果与统计分析结果是一致的,具有良好的 可信度,在具有不确定参数纳米梁的非线性振动响应 分析中,区间分析方法可以作为统计分析的一种替代 方法。

基于区间分析的纳米梁的非线性振动的幅频曲线 如图6所示。由图6可知,在共振带附近参数的不确定



图5 区间对比图

性对于系统响应的影响较大,不确定带宽的相对值为 6.7%,随着外激励频率的增加,系统响应的不确定性逐 渐减小。这与图2中的定性分析结果是一致的。



### 图 6 纳米梁振动的幅频曲线

### 6 结论

本文采用区间分析法及变分法分析了参数不确定 纳米梁的主共振响应,并且给出了数值求解参数不确定 纳米梁的振动方程的方法,采用 Monte Carlo 方法对本文 推导的方法进行了验证。研究结果表明,不确定参数对 参数纳米梁的主共振响应具有较大的影响,在实际问题 分析中,不能忽略参数的不确定性。

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## The Nonlinear Vibrtion of Nanobeams with Uncertain Parameters Based on Nonlocal Beam Theory

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Abstract: The dynamics response of the nanobeams with uncertain parameters is studied. Four parameters of the system are considered as uncertain: the stiffness, the dampers, the length and the amplitude of harmonic excitation. The nonlinear dynamics equations of the nanobeams is derived by interval variables being associated to the uncertain parameters. The variational method is used to obtain the frequency response function of the system subject to harmonic excitations, and the interval method is applied to analysis the infimum and the supremum of the response amplitude. Finally, an effective numerical method for the nonlinear passive vibration isolator system with interval parameters is presented, and numerical solutions shows the efficiencies of theoretical results. Some methods obtained in this paper are great significance both in theory and engineering applications.

Key words: nanobeams; uncertain parameters; interval variables; variational method